

## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1430 Alexandra, Virginia 22313-1450 www.uspto.gov

#### NOTICE OF ALLOWANCE AND FEE(S) DUE

25944

7590

07/14/2003

OLIFF & BERRIDGE, PLC P.O. BOX 19928 ALEXANDRIA, VA 22320 EXAMINER

BURCH, MELODY M

ART UNIT CLASS-SUBCLASS

3683

303-146000

DATE MAILED: 07/14/2003

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 09/436,219      | 11/09/1999  | AKIRA NAGAE          | 104721              | 6312             |

TITLE OF INVENTION: DEVICE FOR CONTROLLING OVER-ROLLING OF VEHICLE BODY

|                | *            |           |                 |                  |            |
|----------------|--------------|-----------|-----------------|------------------|------------|
| APPLN. TYPE    | SMALL ENTITY | ISSUE FEE | PUBLICATION FEE | TOTAL FEE(S) DUE | DATE DUE   |
| nonprovisional | NO           | \$1300    | \$0             | \$1300           | 10/14/2003 |

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. <u>PROSECUTION ON THE MERITS IS CLOSED.</u> THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (OR AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WILL BE REGARDED AS ABANDONED.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status is changed, pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above and notify the United States Patent and Trademark Office of the change in status, or

If the SMALL ENTITY is shown as NO:

- A. Pay TOTAL FEE(S) DUE shown above, or
- B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check the box below and enclose the PUBLICATION FEE and 1/2 the ISSUE FEE shown above.
- ☐ Applicant claims SMALL ENTITY status. See 37 CFR 1.27.
- II. PART B FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B Fee(s) Transmittal should be completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B Fee(s) Transmittal should be completed and an extra copy of the form should be submitted.
- III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Box ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

#### PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

**Commissioner for Patents** Alexandria, Virginia 22313-1450 (703)746-4000

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 4 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Legibly mark-up with any corrections or use Block 1)

<u>Fax</u>

25944

7590

**OLIFF & BERRIDGE, PLC** P.O. BOX 19928 **ALEXANDRIA, VA 22320** 

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Box Issue Fee address above, or being facsimile transmitted to the USPTO. on the date indicated below.

| transmitted to the OSI 10, on the date mulcated below. |                    |
|--------------------------------------------------------|--------------------|
|                                                        | (Depositor's name) |
| · ·                                                    | (Signature)        |
|                                                        | (Date)             |

| APPLICATION NO. FILING DATE |            | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
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| 09/436.219                  | 11/09/1999 | AKIRA NAGAE          | 104721              | 6312             |

TITLE OF INVENTION: DEVICE FOR CONTROLLING OVER-ROLLING OF VEHICLE BODY

| APPLN. TYPE                                                                                                                                       | SMALL ENTITY                 | ISSUE FEE         | PUBLICATION FEE                                                                                                                         | TOTAL FEE(S) DUE | DATE DUE   |
|---------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------|-------------------|-----------------------------------------------------------------------------------------------------------------------------------------|------------------|------------|
| nonprovisional                                                                                                                                    | NO                           | \$1300            | \$0                                                                                                                                     | \$1300           | 10/14/2003 |
| EXAM                                                                                                                                              | INER                         | ART UNIT          | CLASS-SUBCLASS                                                                                                                          |                  |            |
| BURCH, MELODY M                                                                                                                                   |                              | 3683              | 303-146000                                                                                                                              |                  |            |
| 1. Change of corresponde CFR 1.363).                                                                                                              | nce address or indication of | "Fee Address" (37 | 2. For printing on the patent from the names of up to 3 registered                                                                      |                  |            |
| ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.                                                |                              |                   | or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 |                  |            |
| ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required. |                              |                   | registered patent attorneys or ag<br>is listed, no name will be printed.                                                                | ents. If no name |            |

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

| Please check the appropriate assignee category or categories (will not                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | be printed on the patent)                                                                                                                                        |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 4a. The following fee(s) are enclosed:                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | 4b. Payment of Fee(s):                                                                                                                                           |
| ☐ Issue Fee                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | ☐ A check in the amount of the fee(s) is enclosed.                                                                                                               |
| ☐ Publication Fee                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | ☐ Payment by credit card. Form PTO-2038 is attached.                                                                                                             |
| ☐ Advance Order - # of Copies                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | ☐ The Commissioner is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number(enclose an extra copy of this form). |
| Commissioner for Patents is requested to apply the Issue Fee and Publ                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | ication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.                                                           |
| (Authorized Signature)  NOTE: The Issue Fee and Publication Fee (if required) will not be other than the applicant; a registered attorney or agent; or the as interest as shown by the records of the United States Patent and Trad This collection of information is required by 37 CFR 1.311. The inobtain or retain a benefit by the public which is to file (and by the application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR estimated to take 12 minutes to complete, including gathering, preprompleted application form to the USPTO. Time will vary dependent. | signee or other party in emark Office.                                                                                                                           |
| completed application form to the USPTO. Time will vary depen<br>case. Any comments on the amount of time you require to co<br>suggestions for reducing this burden, should be sent to the Chief!<br>Patent and Trademark Office, U.S. Department of Commerc<br>22313-1450. DO NOT SEND FEES OR COMPLETED FORM:<br>SEND TO: Commissioner for Patents, Alexandria, Virginia 22313-1                                                                                                                                                                                                     | mpiete this form and/or<br>nformation Officer, U.S.<br>e, Alexandria, Virginia<br>S TO THIS ADDRESS.                                                             |
| Under the Paperwork Reduction Act of 1995, no persons are r collection of information unless it displays a valid OMB control num                                                                                                                                                                                                                                                                                                                                                                                                                                                       | ber.                                                                                                                                                             |
| TD 4310                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | WITTING FORM WITH FEE(6)                                                                                                                                         |



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| APPLICATION NO.                                                                                                   | FIL | ING DATE   | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO.     | CONFIRMATION NO. |
|-------------------------------------------------------------------------------------------------------------------|-----|------------|----------------------|-------------------------|------------------|
| 09/436,219 11/09/1999<br>25944 7590 07/14/2003<br>OLIFF & BERRIDGE, PLC<br>P.O. BOX 19928<br>ALEXANDRIA, VA 22320 |     | 1/09/1999  | AKIRA NAGAE          | 104721                  | 6312             |
|                                                                                                                   |     | 07/14/2003 |                      | EXAMINER                |                  |
|                                                                                                                   |     | PLC        |                      | BURCH, MELODY M         |                  |
|                                                                                                                   |     | <b>1</b>   |                      | ART UNIT                | PAPER NUMBER     |
| UNITED STAT                                                                                                       | •   | ,          |                      |                         |                  |
|                                                                                                                   |     |            |                      | DATE MAILED: 07/14/2003 |                  |

# Determination of Patent Term Extension under 35 U.S.C. 154 (b) (application filed after June 7, 1995 but prior to May 29, 2000)

The patent term extension is 0 days. Any patent to issue from the above identified application will include an indication of the 0 day extension on the front page.

If a continued prosecution application (CPA) was filed in the above-identified application, the filing date that determines patent term extension is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) system. (http://pair.uspto.gov)

Any questions regarding the patent term extension or adjustment determination should be directed to the Office of Patent Legal Administration at (703)305-1383.



# UNITED STATES PATENT AND TRADEMARK OFFICE

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| 09/436,219                                                      | 11/09/1999  | AKIRA NAGAE          | 104721 6312             |                  |
| 25944 7590 07/14/2003                                           |             |                      | EXAMINI                 | ER               |
| OLIFF & BERRIDGE, PLC<br>P.O. BOX 19928<br>ALEXANDRIA, VA 22320 |             |                      | BURCH, MELODY M         |                  |
|                                                                 |             | Γ                    | ART UNIT                | PAPER NUMBER     |
| UNITED STATE                                                    |             |                      | 3683                    |                  |
|                                                                 |             |                      | DATE MAILED: 07/14/2003 |                  |

## Notice of Fee Increase on January 1, 2003

If a reply to a "Notice of Allowance and Fee(s) Due" is filed in the Office on or after January 1, 2003, then the amount due will be higher than that set forth in the "Notice of Allowance and Fee(s) Due" since there will be an increase in fees effective on January 1, 2003. See Revision of Patent and Trademark Fees for Fiscal Year 2003; Final Rule, 67 Fed. Reg. 70847, 70849 (November 27, 2002).

The current fee schedule is accessible from: <a href="http://www.uspto.gov/main/howtofees.htm">http://www.uspto.gov/main/howtofees.htm</a>.

If the issue fee paid is the amount shown on the "Notice of Allowance and Fee(s) Due," but not the correct amount in view of the fee increase, a "Notice to Pay Balance of Issue Fee" will be mailed to applicant. In order to avoid processing delays associated with mailing of a "Notice to Pay Balance of Issue Fee," if the response to the Notice of Allowance and Fee(s) due form is to be filed on or after January 1, 2003 (or mailed with a certificate of mailing on or after January 1, 2003), the issue fee paid should be the fee that is required at the time the fee is paid. If the issue fee was previously paid, and the response to the "Notice of Allowance and Fee(s) Due" includes a request to apply a previously-paid issue fee to the issue fee now due, then the difference between the issue fee amount at the time the response is filed and the previously paid issue fee should be paid. See Manual of Patent Examining Procedure, Section 1308.01 (Eighth Edition, August 2001).

Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at (703) 305-8283.

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|                 | $\overline{\ }$ |

|                                                                                                                                                                                                                                                                                                                                                                                                                                                              | Application No.                                                                                               | Applicant(s)                                                                                          |  |  |  |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------|--|--|--|
|                                                                                                                                                                                                                                                                                                                                                                                                                                                              | 09/436,219                                                                                                    | NAGAE ET AL.                                                                                          |  |  |  |
| Notice of Allowability                                                                                                                                                                                                                                                                                                                                                                                                                                       | Examiner                                                                                                      | Art Unit                                                                                              |  |  |  |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                              | Melody M. Burch                                                                                               | 3683                                                                                                  |  |  |  |
| The MAILING DATE of this communication apperation apperation allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RI                                                                                                                                                                                                                                     | (OR REMAINS) CLOSED in this app<br>or other appropriate communication<br>GHTS. This application is subject to | olication. If not included will be mailed in due course. THIS                                         |  |  |  |
| <ol> <li>This communication is responsive to 6/23/03.</li> <li>The allowed claim(s) is/are 1-6.</li> <li>The drawings filed on are accepted by the Examine</li> <li>Acknowledgment is made of a claim for foreign priority und</li> <li>All b) Some* c) None of the:</li> <li>Certified copies of the priority documents have</li> <li>Certified copies of the priority documents have</li> <li>Copies of the certified copies of the priority do</li> </ol> | der 35 U.S.C. § 119(a)-(d) or (f).  be been received.  be been received in Application No                     |                                                                                                       |  |  |  |
| * Certified copies not received:  5. Acknowledgment is made of a claim for domestic priority use (a) The translation of the foreign language provisional at 6. Acknowledgment is made of a claim for domestic priority use Applicant has THREE MONTHS FROM THE "MAILING DATE" of                                                                                                                                                                             | · · · · · ·                                                                                                   |                                                                                                       |  |  |  |
| 7. A SUBSTITUTE OATH OR DECLARATION must be subminFORMAL PATENT APPLICATION (PTO-152) which gives reas                                                                                                                                                                                                                                                                                                                                                       | nitted. Note the attached EXAMINER son(s) why the oath or declaration is                                      | 'S AMENDMENT or NOTICE OF deficient.                                                                  |  |  |  |
| <ul> <li>8.  ☐ CORRECTED DRAWINGS must be submitted.</li> <li>(a) ☐ including changes required by the Notice of Draftsper</li> <li>1) ☐ hereto or 2) ☐ to Paper No</li> <li>(b) ☐ including changes required by the proposed drawing (c) ☐ including changes required by the attached Examiner</li> </ul>                                                                                                                                                    | correction filed, which has be                                                                                | een approved by the Examiner.                                                                         |  |  |  |
| Identifying indicia such as the application number (see 37 CFR 1 each sheet.                                                                                                                                                                                                                                                                                                                                                                                 | .84(c)) should be written on the drawin                                                                       | ngs in the front (not the back) of                                                                    |  |  |  |
| 9. DEPOSIT OF and/or INFORMATION about the depo attached Examiner's comment regarding REQUIREMENT FOR T                                                                                                                                                                                                                                                                                                                                                      | SIT OF BIOLOGICAL MATERIAL IN<br>THE DEPOSIT OF BIOLOGICAL MA                                                 | nust be submitted. Note the TERIAL.                                                                   |  |  |  |
| Attachment(s)                                                                                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                               |                                                                                                       |  |  |  |
| <ul> <li>1 Notice of References Cited (PTO-892)</li> <li>3 Notice of Draftperson's Patent Drawing Review (PTO-948)</li> <li>5 Information Disclosure Statements (PTO-1449), Paper No</li></ul>                                                                                                                                                                                                                                                               | 4∐ Interview Summa<br>6⊠ Examiner's Ame                                                                       | al Patent Application (PTO-152) ary (PTO-413), Paper No ndment/Comment ement of Reasons for Allowance |  |  |  |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                                                                                                               | ÷                                                                                                     |  |  |  |

Application/Control Number: 09/436,219

Art Unit: 3683

### **EXAMINER'S AMENDMENT**

1. An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

Authorization for this examiner's amendment was given in a telephone interview with Robert J. Webster on 7/7/03.

- 2. The following changes to the drawings have been approved by the examiner and agreed upon by applicant: Element "S60" in figure 9 has been changed to --S70-- as shown in red in the attached copy of figure 9. In order to avoid abandonment of the application, applicant must make these above agreed upon drawing changes.
- 3. The following is an examiner's statement of reasons for allowance: The Taga et al. reference, as modified, fails to show or suggest the limitation of the means for controlling the brake system to accomplish the target deceleration of the vehicle when the rolling amount exceeds a predetermined threshold. Examiner notes that in the Taga et al. reference, the number of revolutions of the wheel represents the rolling amount, as broadly claimed. Although Examiner maintains that the distance between the vehicle and a forward obstruction can be determined from the number of revolutions of the vehicle wheel, and although the Taga et al. reference discloses that vehicle deceleration increases when a forward obstruction approaches the vehicle, Examiner agrees that the reference does not disclose or suggest specifically that the target deceleration is

Page 3

Application/Control Number: 09/436,2

Art Unit: 3683

accomplished when the rolling amount or number of revolutions of the vehicle exceeds a predetermined threshold.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Melody M. Burch whose telephone number is 703-306-4618. The examiner can normally be reached on Monday-Friday (7:30 AM-4:00 PM).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Jack Lavinder can be reached on 703-308-3421. The fax phone numbers for the organization where this application or proceeding is assigned are 703-305-7687 for regular communications and 703-305-7687 for After Final communications.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is 703-308-1113.

mmb July 7, 2003

TECHNIDLOGY CENTER 3600